EO: 200 BYE: 201944

## State of Oregon

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### **Employment Appeals Board**

875 Union St. N.E. Salem. OR 97311

# EMPLOYMENT APPEALS BOARD DECISION 2019-EAB-1183

# Affirmed No Disqualification

**PROCEDURAL HISTORY:** On October 15, 2019, the Oregon Employment Department (the Department) served notice of an administrative decision concluding claimant was discharged for conduct connected to work (decision #72617). Claimant filed a timely request for hearing. On November 25, 2019, ALJ Scott conducted a hearing, and on December 2, 2019, issued Order No. 19-UI-140521, setting aside decision #72617 and concluding claimant was discharged, but not for misconduct connected to work. On December 20, 2019, the employer filed an application for review with the Employment Appeals Board (EAB).

Claimant and the employer submitted written arguments to the EAB. However, the employer did not declare that they provided a copy of their argument to the opposing party or parties as required by OAR 471-041-0080(2)(a) (May 13, 2019). Claimant's written argument did contain the required declaration, but the argument contained information that was not part of the hearing record, and did not show that factors or circumstances beyond claimant's reasonable control prevented him from offering the information during the hearing as required by OAR 471-041-0090 (May 13, 2019). EAB considered only information received into evidence at the hearing when reaching this decision. *See* ORS 657.275(2).

**FINDINGS OF FACT:** (1) Roseburg Forest Products employed claimant as a stock rustler from October 10, 2012 to September 12, 2019.

(2) Upon hire, claimant received a copy of the employer's attendance policy, which is also incorporated in the employer's contract with the labor union. The attendance policy has a five-step corrective action plan, which begins with a verbal warning at step one and culminates with a discharge at step five.

- (3) The employer's attendance policy included an expectation that employees report to work on time and as scheduled, or be disciplined. Claimant had a concerning history of attendance problems that had resulted in discipline, and knew based upon the discipline that his absences violated the employer's expectation and future absences would also report in discipline.
- (4) As of January 5, 2019 claimant had been disciplined to the point where his next unapproved absence would put him at the discharge stage of the employer's corrective action plan.
- (5) On the night of September 6, 2019, claimant's wife and children became ill and claimant stayed up late to care for them. Claimant was scheduled to work the following day so he set an alarm clock to assist him in waking up to report to work on time; however, the exigent circumstances of caring for his ill family members caused him to sleep through the alarm and miss the beginning of his December 7<sup>th</sup> shift.
- (6) On September 7, 2019, the employer put claimant on administrative leave for reporting to work late that day. The employer reviewed claimant's attendance record and then discharged him on September 12, 2019, because the September 7<sup>th</sup> unapproved tardiness put him at the discharge stage of the employer's progressive discipline attendance policy.

**CONCLUSIONS AND REASONS:** The employer discharged claimant, but not for misconduct connected to work.

ORS 657.176(2)(a) requires a disqualification from unemployment insurance benefits if the employer discharged claimant for misconduct connected with work. "As used in ORS 657.176(2)(a) . . . a willful or wantonly negligent violation of the standards of behavior which an employer has the right to expect of an employee is misconduct. An act or series of actions that amount to a willful or wantonly negligent disregard of an employer's interest is misconduct." OAR 471-030-0038(3)(a) (December 23, 2018). "[W]antonly negligent' means indifference to the consequences of an act or series of actions, or a failure to act or a series of failures to act, where the individual acting or failing to act is conscious of his or her conduct and knew or should have known that his or her conduct would probably result in a violation of the standards of behavior which an employer has the right to expect of an employee." OAR 471-030-0038(1)(c). Isolated instances of poor judgment and good faith errors are not misconduct. OAR 471-030-0038(3)(b). In a discharge case, the employer bears the burden to establish misconduct by a preponderance of the evidence. *Babcock v. Employment Division*, 25 Or App 661, 550 P2d 1233 (1976).

Order No. 19-UI-140521 analyzed the May 13, 2018 absence due to illness and concluded based upon that analysis that the discharge was not for misconduct. However, the May 13, 2018 incident was not the proximate cause of the employer's discharge decision because the employer did not discharge him after that incident occurred. Rather, the employer waited approximately one and a half years, until claimant's tardiness on September 7, 2019, before discharging him. The September 7<sup>th</sup> tardiness was therefore the proximate cause of the discharge, and the appropriate incident to analyze first in a misconduct analysis. "See generally June 27, 2005 Letter to the Employment Appeals Board from Tom Byerley, Assistant Director, Unemployment Insurance Division (where an individual is discharged under a point-based attendance policy, the last occurrence is considered the reason for the discharge)."

The employer discharged claimant for violating its attendance policy by reporting to work late on September 7, 2019. Claimant's September 7<sup>th</sup> tardiness was a violation of the employer's expectation that he report to work on time. Even though claimant had a history of attendance problems, his tardiness on September 7, 2019 was not misconduct for purposes of unemployment insurance benefits for either of two reasons. First, the tardiness was due to the illness of his family, and by extension of OAR 471-030-0038(3)(b), attendance issues due to the illness of the claimant or claimant's immediate family members is generally not considered to be misconduct. Second, the fact that claimant set an alarm, intended to wake up for work on time, but slept through his alarm after taking care of his ill family during the night does not suggest that claimant intentionally violated the attendance policy, or that he was indifferent to the expectation he report to work on time. Instead, setting an alarm, intending to report to work on time, and making the effort to do so all suggests that claimant was not indifferent to the expectation, and that his absence, although a violation of the employer's expectations, was not the result of willful or wantonly negligent behavior attributable to claimant as misconduct.

The employer discharged claimant, but not for misconduct under ORS 657.176(2)(a). Claimant is not disqualified from receiving unemployment insurance benefits on the basis of his work separation.

**DECISION:** Order No. 19-UI-140521 is affirmed.

J. S. Cromwell and S. Alba; D. P. Hettle, not participating.

DATE of Service: January 24, 2020

**NOTE:** You may appeal this decision by filing a Petition for Judicial Review with the Oregon Court of Appeals within 30 days of the date of service listed above. *See* ORS 657.282. For forms and information, you may write to the Oregon Court of Appeals, Records Section, 1163 State Street, Salem, Oregon 97310 or visit the Court of Appeals website at courts.oregon.gov. Once on the website, use the 'search' function to search for 'petition for judicial review employment appeals board'. A link to the forms and information will be among the search results.

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# **Understanding Your Employment Appeals Board Decision**

#### **English**

Attention – This decision affects your unemployment benefits. If you do not understand this decision, contact the Employment Appeals Board immediately. If you do not agree with this decision, you may file a Petition for Judicial Review with the Oregon Court of Appeals following the instructions written at the end of the decision.

#### Simplified Chinese

注意 - 本判决会影响您的失业救济金。 如果您不明白本判决, 请立即联系就业上诉委员会。 如果您不同意此判决,您可以按照该判决结尾所写的说明,向俄勒冈州上诉法院提出司法复审申请。

#### **Traditional Chinese**

注意 - 本判決會影響您的失業救濟金。 如果您不明白本判決, 請立即聯繫就業上訴委員會。 如果您不同意此判決,您可以按照該判決結尾所寫的說明, 向俄勒岡州上訴法院提出司法複審申請。

#### **Tagalog**

Paalala – Nakakaapekto ang desisyong ito sa iyong mga benepisyo sa pagkawala ng trabaho. Kung hindi mo naiintindihan ang desisyong ito, makipag-ugnayan kaagad sa Lupon ng mga Apela sa Trabaho (Employment Appeals Board). Kung hindi ka sumasang-ayon sa desisyong ito, maaari kang maghain ng isang Petisyon sa Pagsusuri ng Hukuman (Petition for Judicial Review) sa Hukuman sa Paghahabol (Court of Appeals) ng Oregon na sinusunod ang mga tagubilin na nakasulat sa dulo ng desisyon.

#### Vietnamese

Chú ý - Quyết định này ảnh hưởng đến trợ cấp thất nghiệp của quý vị. Nếu quý vị không hiểu quyết định này, hãy liên lạc với Ban Kháng Cáo Việc Làm ngay lập tức. Nếu quý vị không đồng ý với quyết định này, quý vị có thể nộp Đơn Xin Tái Xét Tư Pháp với Tòa Kháng Cáo Oregon theo các hướng dẫn được viết ra ở cuối quyết định này.

#### **Spanish**

Atención – Esta decisión afecta sus beneficios de desempleo. Si no entiende esta decisión, comuníquese inmediatamente con la Junta de Apelaciones de Empleo. Si no está de acuerdo con esta decisión, puede presentar una Aplicación de Revisión Judicial ante el Tribunal de Apelaciones de Oregon siguiendo las instrucciones escritas al final de la decisión.

#### Russian

Внимание — Данное решение влияет на ваше пособие по безработице. Если решение Вам непонятно — немедленно обратитесь в Апелляционный Комитет по Трудоустройству. Если Вы не согласны с принятым решением, вы можете подать Ходатайство о Пересмотре Судебного Решения в Апелляционный Суд штата Орегон, следуя инструкциям, описанным в конце решения.

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#### Khmer

ចំណុចសំខាន់ – សេចក្តីសម្រេចនេះមានផលប៉ះពាល់ដល់អត្ថប្រយោជន៍គ្មានការងារធ្វើរបស់លោកអ្នក។ ប្រសិនបើលោកអ្នកមិន យល់អំពីសេចក្តីសម្រេចនេះ សូមទាក់ទងគណៈកម្មការឧទ្ធរណ៍ការងារភ្លាមៗ។ ប្រសិនបើលោកអ្នកមិនយល់ស្របចំពោះសេចក្តី សម្រេចនេះទេ លោកអ្នកអាចដាក់ពាក្យប្តឹងសុំឲ្យមានការពិនិត្យរឿងក្តីឡើងវិញជាមួយតុលារឧទ្ធរណ៍រដ្ឋ Oregon ដោយអនុវត្តតាម សេចក្តីណែនាំដែលសរសេរនៅខាងចុងបញ្ចប់នៃសេចក្តីសម្រេចនេះ។

#### Laotian

ເອົາໃຈໃສ່ – ຄຳຕັດສິນນີ້ມີຜົນກະທົບຕໍ່ກັບເງິນຊ່ວຍເຫຼືອການຫວ່າງງານຂອງທ່ານ. ຖ້າທ່ານບໍ່ເຂົ້າໃຈຄຳຕັດສິນນີ້, ກະລຸນາຕິດຕໍ່ຫາຄະນະກຳມະການ ອຸທອນການຈ້າງງານໃນທັນທີ. ຖ້າທ່ານບໍ່ເຫັນດີນຳຄຳຕັດສິນນີ້, ທ່ານສາມາດຍື່ນຄຳຮ້ອງຂໍການທົບທວນຄຳຕັດສິນນຳສານອຸທອນລັດ Oregon ໄດ້ ໂດຍປະຕິບັດຕາມຄຳແນະນຳທີ່ບອກໄວ້ຢູ່ຕອນທ້າຍຂອງຄຳຕັດສິນນີ້.

#### Arabic

هذا القرار قد يؤثر على منحة البطالة الخاصة بك، إذا لم تفهم هذا القرار، إتصل بمجلس منازعات العمل فورا، و إذا كنت لا توافق على هذا القرار، يمكنك رفع شكوى للمراجعة القانونية بمحكمة الاستئناف بأوريغون و ذلك بإتباع الإرشادات المدرجة أسفل القرار

#### Farsi

توجه - این حکم بر مزایای بیکاری شما تاثیر می گذارد. اگر با این تصمیم موافق نیستید، بلافاصله با هیأت فرجام خواهی استخدام تماس بگیرید. اگر از این حکم رضایت ندارید، میتوانید با استفاده از دستور العمل موجود در پایان آن، از دادگاه تجدید نظر اورگان در خواست تجدید نظر کنید.

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